



STATE OF KANSAS OFFICE OF THE STATE BANK COMMISSIONER

APPLICATION FOR NON-ELIGIBLE TRUST COMPANIES

Mark the box which applies:

- Relocate a Main Office (K.S.A. 9-1804)
 Relocate a Main Office and Retain Existing Office as a Trust Service Office (K.S.A. 9-1804 & K.S.A. 9-2108)

(Name of Trust Company)

(Current Street Address and Mailing Address of Main Office)

(City, County, State, Zip Code)

(Name and Telephone Number of Person to Contact Regarding Application)

An original and nine copies of the application and the applicable filing fee should be submitted to:

State Banking Board
Office of the State Bank Commissioner
700 SW Jackson Street, Suite 300
Topeka, Kansas 66603-3796

Remittance of a filing fee of \$500 for relocations within a short distance, or \$1,000 for all other relocations, is required pursuant to K.A.R. 17-22-1. It should also be understood that the cost of on-site examinations or investigations in connection with this application will be the responsibility of the applicant.

The application is available to members of the public, subject to limitations imposed by federal or state statutes.

If the applicant is of the opinion that disclosure of commercial or financial information would likely result in substantial harm to the competitive position of the trust company, or that disclosure of information of a personal nature would result in a clearly unwarranted invasion of personal privacy, confidential treatment of such information may be requested.

Information for which confidential treatment is requested should be (1) specifically identified in the public portion of the application (by reference to the confidential section), (2) separately bound, and (3) labeled "Confidential."

Please submit the following information with regard to the proposed new office. The State Banking Board may request more information, if deemed necessary.

1. Provide the complete official name and address of the proposed new office and the distance from the main office and all existing trust branch offices. Also, describe the geographic area to be served by the proposed location.
2. Provide the complete legal description of the location of the proposed new office. Explain the terms of the lease or acquisition of the real property. Include a copy of all signed purchase agreements or lease agreements. Identify the present owner of the real property and their relationship, if any, to directors, or management.
3. Itemize the projected dollar investment in real property, improvements and equipment. Please include a description of the facility. (NOTE: If additional costs of the proposed new office, when added to current fixed assets, equal more than 50% of the trust company's capital accounts as defined, a letter requesting permission to exceed the 50% limit will need to be submitted to the State Bank Commissioner. Any such letter will need to explain how compliance with K.S.A. 9-1102(a)(3) will be achieved.)
4. Describe any additions and/or changes in staff and management for the proposed new office.
5. Explain how the proposed new office will be useful and describe the chances for success, including the impact the new office will have on the overall condition of the trust company. Include three year budget projections, which include assumptions used. Projections should show annual income and expenses of the proposed new office and the volume of business to be transacted at the new office.
6. State the population of the community where the proposed new office will be located as taken from the two most recent censuses.
7. Describe any plans to sell or merge the applicant trust company within the next twelve months.
8. State the amount and terms of any stock debt currently held by individuals or the trust company's holding company and any dividends paid to service such debt. Also, if applicable, please include a copy of the last quarterly balance sheet of the holding company.
9. Provide a letter from the Historic Preservation Department of the Kansas State Historical Society indicating that the proposed new office and/or site that it is located to be on is not included, or eligible for inclusion, in the National Register for Historical Places.
10. Provide a copy of the trust company's most recent balance sheet and income statement.
11. Provide proof of publication, regarding the notice of application. (See attached publication example.)
12. Provide any statement or additional information, not requested in the above items, if it is deemed pertinent to the need for the proposed new office.

Questions concerning this application may be addressed to:
Dana S. Hampton, Director of Corporate Activities
Office of the State Bank Commissioner
700 SW Jackson Street, Suite 300
Topeka, Kansas 66603-3714
(785) 296-2266 FAX (785) 296-0168

IMPORTANT

CHANGE OF MAIN OFFICE LOCATION

This notice, or one similar to it, must be published in a newspaper of general circulation in the county where the trust company is currently located and in the county where the trust company proposes to relocate the new office on the same day of two consecutive weeks and provide for a comment period of at least ten calendar days from the date of the second publication. Proof of publication is to be supplied to the State Banking Board.

Published in the _____, _____, _____
(Name of newspaper) (Date)

_____, _____
(Trust Company Name) (Street Address)

_____, Kansas, _____ an application with the State Banking Board for
(City) (intends to file/has filed)

permission to change the location of its main office to _____
(Street Address)

_____, Kansas.
(City)

The State Banking Board considers a number of factors in deciding whether to approve the application.

Any person wishing to comment on this application may file his or her comments, in writing, with the State Banking Board, at 700 Jackson, Suite 300, Topeka, Kansas 66603. Comments will be considered if received on or before

_____.
(Insert date which is ten calendar days from the date of the second publication.)

Public comments may be subject to disclosure pursuant to the Kansas Open Records Act; K.S.A. 45-201 et seq.

The non-confidential portion of the application file is available for inspection within one day following the request for such file. The file may be inspected in the Office of the State Bank Commissioner during regular business hours. Photocopies of information in the non-confidential portion of the application file will be made available upon written request. A schedule of charges for such copies can be obtained from the State Bank Commissioner.