



# STATE OF KANSAS OFFICE OF THE STATE BANK COMMISSIONER

## APPLICATION TO ESTABLISH A BANK SERVICE CORPORATION Pursuant to K.S.A. 9-1123 through 9-1127

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(Name of Bank)

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(Current Street Address and Mailing Address of Main Office)

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(City, County, State, Zip Code)

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(Name and Telephone Number of Person to Contact Regarding Application)

An original application and the applicable filing fee should be submitted to:

Office of the State Bank Commissioner  
700 SW Jackson Street, Suite 300  
Topeka, Kansas 66603

Remittance of a filing fee of \$500, is required pursuant to K.A.R. 17-22-1. It should also be understood that the cost of on-site examinations or investigations in connection with this application will be the responsibility of the applicant.

The application is available to members of the public, subject to limitations imposed by federal or state statutes.

If the applicant is of the opinion that disclosure of commercial or financial information would likely result in substantial harm to the competitive position of the bank, or that disclosure of information of a personal nature would result in a clearly unwarranted invasion of personal privacy, confidential treatment of such information may be requested.

Information for which confidential treatment is requested should be (1) specifically identified in the public portion of the application (by reference to the confidential section), (2) separately bound, and (3) labeled "Confidential."

Please submit the following information with regard to the proposed bank service corporation. The State Bank Commissioner may request more information, if deemed necessary.

1. Provide a complete description of the proposed service corporation including the name, location, nature of business and specific activities to be conducted, and how the proposed services will benefit the public.
2. State the amount of the bank's investment in the service corporation. (NOTE: pursuant to K.S.A. 9-1124, a bank's investment in a service corporation can not exceed 10% of the paid-in and unimpaired capital and unimpaired surplus, and 5% of total assets.
3. State the type and location of any other applicant owned service corporations.
4. If the service corporation will be "free standing", provide a physical description of the proposed office and state whether the proposed premises and fixed asset costs comply with applicable statutory limits. (NOTE: If additional costs of the proposed new office, when added to current fixed assets, equal more than 50% of the bank's capital accounts as defined, a letter requesting permission to exceed the 50% limit will need to be submitted to the State Bank Commissioner. The letter should also explain how compliance with K.S.A. 9-1102(a)(3) will be achieved.) Provide a letter from the Historic Preservation Department of the Kansas State Historical Society indicating that the proposed new office and/or site that it is to be located on is not included, or eligible for inclusion, in the National Register for Historical Places.
5. Describe management and staffing plans for the proposed service corporation, including individuals' qualifications and experience.
6. Explain how the proposed service corporation will be useful and describe the chances for success, including the impact the service corporation will have on the overall condition of the bank.
7. Provide a copy of the application made to the bank's primary Federal regulator, if applicable.
8. Describe the insurance and/or bonding coverage which will be obtained in conjunction with the proposed service corporation.
9. Provide a copy of the proposed Articles of Incorporation for the proposed service corporation.
10. Provide any additional information or comments, not requested in the above items, if it is deemed pertinent to support the need for the proposed service corporation.

Questions concerning this application may be addressed to:  
Dana S. Hampton, Director of Corporate Activities  
Office of the State Bank Commissioner  
700 SW Jackson Street, Suite 300  
Topeka, Kansas 66603-3714  
(785) 296-2266 FAX (785) 296-0168

In support of this application, applicant has hereby made the aforementioned statements and representations, and submits this information, based upon the factors as outlined for the purpose of inducing the State Bank Commissioner of the State of Kansas to approve the application for the previously listed Kansas bank.

Applicant hereby authorizes the State Bank Commissioner of the State of Kansas to conduct any investigation, examination or review of the condition of the applicant bank and to provide any other material as requested by the State Bank Commissioner.

ATTESTATION

Applicant affirms that the information contained in this application has been prepared at the direction of the Board of Directors and that it is accurate and complete to the Applicant's best knowledge and belief.

\_\_\_\_\_  
(Applicant)

By \_\_\_\_\_  
(Authorized Officer)

Title \_\_\_\_\_

(SEAL)

ATTEST:

\_\_\_\_\_  
(Corporate Secretary)